



Play-by-play data analysis for team managing in basketball

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TALK OUTLINE

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BASKETBALL ANALYTICS

Two main purposes:

1. match outcome prediction (as in , KS06, M16, RP-C15, S14, , YL12 and , ZMS13)
2. *analysis of performances*: team, *lineups* and players

Performance analyses can be based on:

- ▶ **box score statistics** data
- ▶ or more **complex data collections**, such as the advanced play by play data used – in Deshpande and Jensen, 2016 – to study the individual player contribution to the match-winning probability of the team at different game moments (DJ16).
- ▶ ...

PLAYER PERFORMANCE LITERATURE ANALYSIS

The leading approach to player performance assessment is based on the so-called adjusted plus-minus (APM) method

- ▶ its basic formulation, corresponding to a linear model specification, was introduced in an influential contribution by Rosenbaum (2004 – R04) and recently re-discussed in Omidian (2011 – O11)

but

- ▶ the model specification entails **sparse design matrices** and **multicollinearity**.

For this reason

- ▶ the *Regularized* APM (**RAPM**) approach was formulated in Sill (2010 – S10)
- ▶ the RAPM method typically employs *ridge regression* for the estimation of player efficiency (as summarized in Englemann, 2017 – E17) but **other regularization methods** can also be adopted (Efron and Hastie, 2016 – EH16)

The method was adopted also for the analysis of the players of the *Major League Soccer* (KPM17) and the *National Hockey League* (see MacD11 and MacD12).

EMPIRICAL FRAMEWORK AND PRINCIPAL AIMS

The empirical analyses

- ▶ regard the **Italian Serie A basketball league**
- ▶ are based on **freely available data** from the first round of the 2018/2019 championship
- ▶ adopt a **model-based strategy** mimicking the APM and RAPM literature

The present work **aims** at

- A1** focusing on the behaviour/effects of the **lineups**
- A2** adopting an **alternative and more informative score computation**
- A3** considering a more flexible **model-based approach to regularization**

...

To provide **some guidance** on whether **alternative match strategies** could have been adopted with **better performances**

MORE SPECIFICALLY

We propose to:

A1 change the point of view – from *players performance estimation* to **lineups specific effect**

From **215 players** to **1886 lineups** \Rightarrow more complex model specification

A2 use of a **performance index rating** (called score in the following)

Value	Relevant events
-1	missed free-throw, turnover or offensive foul
-0.5	missed shot (2 points or three points shots)
0.5	assist
1	steal, offensive or defensive rebound, block, scored free-throw or received foul
2	scored shot
3	scored three-pointer

A3 adopt a different method of estimation

Ridge regression and other *regularization methods* are typically used to face the overparameterization issues in APM estimation.

Our proposal is based on the **empirical Bayes** model-based approach.

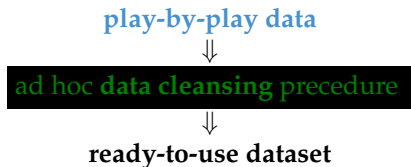
SERIE A1 – DATA COLLECTION

Banco di Sardegna Sassari	Min.	Utmana Reger Venezia
	1 min	
McGee Tyrus - Rimbalzo difensivo (1)		Branco Michael - Tiro sbagliato da 3 punti
Coxley Jack - Tiro sbagliato da sotto		Watt Mitchell - Rimbalzo difensivo (1)
		Watt Mitchell - Tiro sbagliato da sotto
Coxley Jack - Rimbalzo difensivo (1)	2 min	
Pierre Dyshawn - Canestro da sotto	26	
Thomas Rashawn - Rimbalzo difensivo (1)		Haynes MarQuez - Tiro sbagliato da 3 punti
Coxley Jack - Fallo commesso (1)		
Coxley Jack - Palla persa (1)		Watt Mitchell - Fallo subito (1)
		Haynes MarQuez - Fallo subito (1)
McGee Tyrus - Fallo commesso (1)	21	Haynes MarQuez - Tiro libero segnato
	22	Haynes MarQuez - Tiro libero segnato
	3 min	
Thomas Rashawn - Fallo subito (1)		Mazzola Valerio - Fallo commesso (1)
McGee Tyrus - Fallo subito (1)		Mazzola Valerio - Fallo commesso (2)
Coxley Jack - Assist (1)		
McGee Tyrus - Tiro libero segnato	32	
McGee Tyrus - Tiro libero segnato	42	
	44	Stone Julian - Canestro da fuori
Thomas Rashawn - Tiro sbagliato da 3 punti		
Coxley Jack - Rimbalzo offensivo (1)		
Coxley Jack - Fallo subito (1)		

The **play-by-play** info are used to identify

- ▶ **player and team** finalizing the play,
- ▶ **intermediate events** (substitutions, time-outs and so on),
- ▶ **outcome** of the play (points and scores),
- ▶ **quarter**,
- ▶ **minute** in the quarter,
- ▶ **home and the away teams**,
- ▶ **identification of plays and possessions**
- ▶ **match score and difference in points** (used to determine the “**status**” of the game)

THE DATASET STRUCTURE



The single plays are finally **aggregated by shifts** and the obtained dataset presents the following characteristics:

- ▶ **3868 observations** (shifts) from **120 matches**
- ▶ the outcome variable is computed from the **home team point of view** and some contextual variables are collected.
- ▶ some shifts are excluded (points differential > 20 in the last 5 minutes of the match) \Rightarrow **Garbage Time**

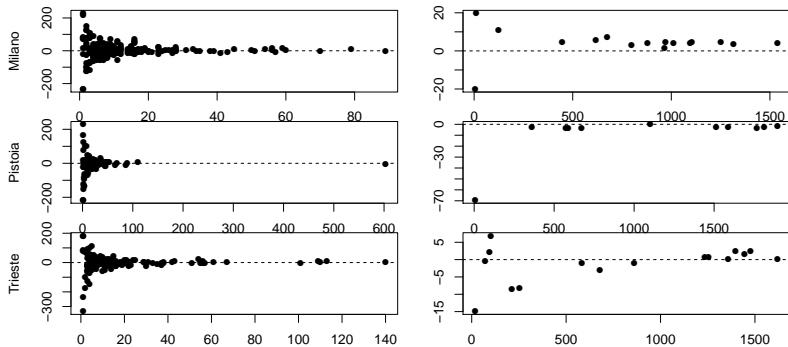
SOME SUMMARY STATISTICS – 1

Number of possessions by lineups

Team	No. of Lineups	Min.	1 st quart.	Mean	Median	S.D.	3 rd quart.	Max.
Avellino	91	1	4.00	25.10	12.00	50.65	23.50	374
Bologna	121	1	5.00	20.56	12.00	30.67	25.00	252
Brescia	115	1	5.00	21.61	11.00	32.02	25.50	238
Brindisi	73	1	6.00	37.58	14.00	69.73	39.00	431
Cantù	100	1	5.00	24.78	9.50	55.23	18.25	459
Cremona	80	1	7.00	33.67	13.00	54.73	37.00	369
Milano	172	1	4.00	14.90	9.50	15.82	18.00	89
Pesaro	60	1	5.75	40.63	10.50	116.91	33.00	888
Pistoia	99	1	6.50	24.00	11.00	62.07	21.00	603
R. Emilia	155	1	5.00	15.04	9.00	18.05	18.50	124
Sassari	180	1	3.00	14.17	7.00	27.47	15.00	224
Torino	137	1	6.00	19.19	11.00	26.03	21.00	214
Trentino	128	1	5.00	19.52	13.00	22.15	29.25	171
Trieste	139	1	5.00	18.22	10.00	23.59	20.50	140
Varese	65	1	5.00	39.35	16.00	118.83	42.00	957
Venezia	171	1	3.50	13.09	7.00	24.85	12.50	244

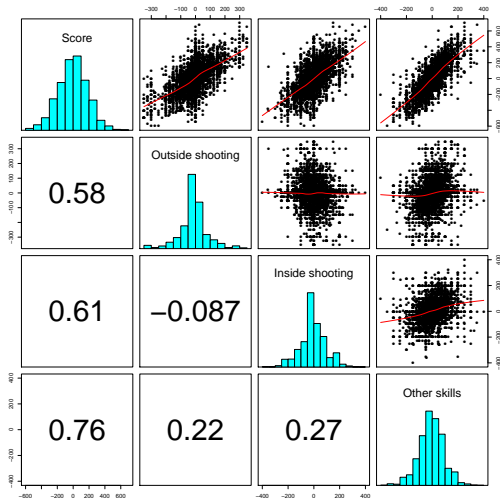
SOME SUMMARY STATISTICS – 2

Average score of each **lineup** (left panels) and each **player** (right panel) for three teams as a function of the number of possessions.



FURTHER DETAILS IN THE SCORE ANALYSIS

- ▶ The score is a **multidimensional measure of players/lineups performance**
- ▶ The components are **mainly unrelated**
- ▶ **Separated analysis** can help focusing on specific **characteristics**



MODEL SPECIFICATION: LINEUP EFFECTS

Following the classical RAPM literature, the model for the estimation of Lineup effects based on the score response variable is

$$y_t = \beta_0 + \mu_{h[t]} - \mu_{a[t]} + \eta_t, \quad (1)$$

where

- ▶ t identifies the shifts, $t = 1, \dots, T$ ($T = 3868$)
- ▶ y_t is the **difference between the mean outcomes (scores or points) for the home team and for the away team** for each shift (the mean is over the number of possessions for each team).
- ▶ $h[t]$ and $a[t]$ identifies the **lineup for the home and away team** for shift t , respectively
- ▶ The model matrix corresponds to a **matrix of signed dummies**
- ▶ The model specification could include the effects of some additional **covariates** (using the model-based regularization procedure this is straightforward)
- ▶ The estimation is based on **weighted regression** (weights are the total number of possessions in the shift)

MODEL SPECIFICATION: PLAYER EFFECTS

The same kind of model specification can be adopted to estimate the *effects of the players*

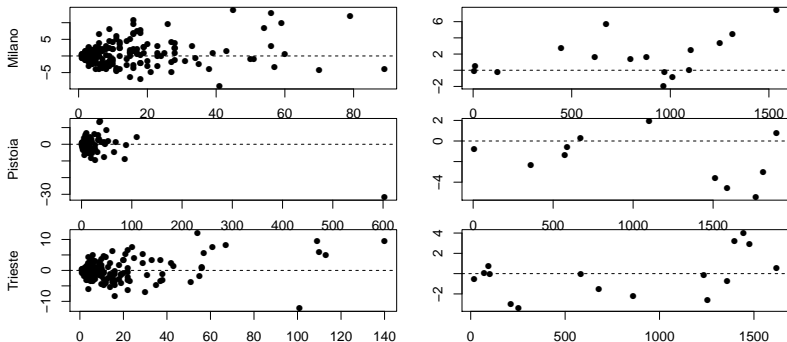
- ▶ **directly** – changing the model matrix to account for the single players in the shift (each shift entails ten different players)

$$y_t = \beta_0 + \sum_{j=1}^5 \gamma_{h_j[t]} - \sum_{j=1}^5 \gamma_{a_j[t]} + \eta_t, \quad (2)$$

- ▶ η_t denoting a normal error term
 - ▶ γ is the vector of player effects (with length $M = 212$)
 - ▶ $h_j[t]$ and $a_j[t]$ identify the j -th player involved in shift t , for home and away team respectively.
-
- ▶ or **indirectly** – basing on a dataset where y_t is substituted by the estimated lineup effects.

MODEL ESTIMATION RESULTS – 1

Estimated effects of each lineup (left panels) and each player (right panel) for three teams as a function of the number of possessions.



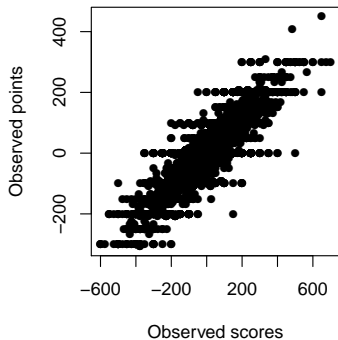
The estimation has been carried out by means of the `hglm` R package R10.

THE RELATIONSHIP BETWEEN EMPIRICAL BAYES AND RIDGE REGRESSION APPROACHES

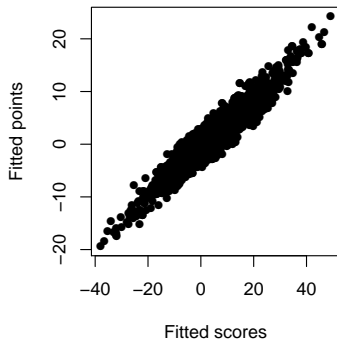
THE RELATIONSHIP BETWEEN POINTS AND SCORES

ESTIMATION RESULTS

Observed **points** vs **scores** for the shift data

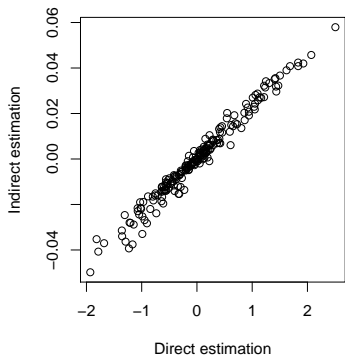


Fitted **points** vs **scores** based on the estimated model for lineup effects

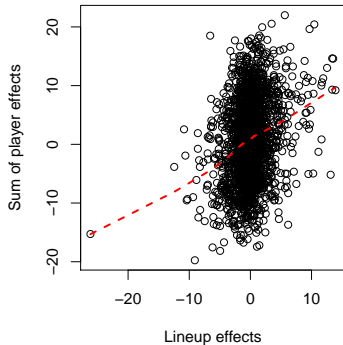


PLAYERS OR LINEUPS?

Indirect (two-step) vs **direct estimation** of player effects

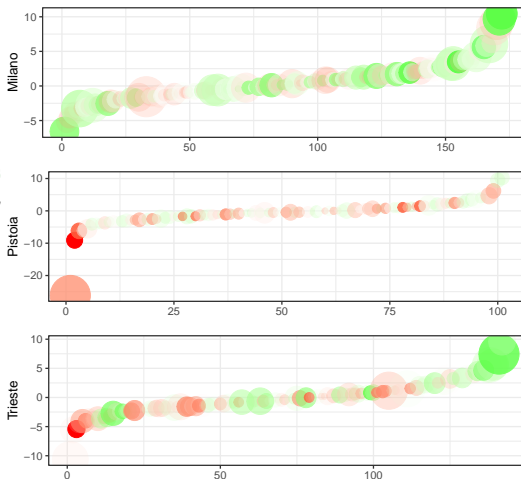


Estimated lineup effects vs **sum of the player effects of each lineup**



USING THE ESTIMATED RESULTS – 1

- ▶ Bubble plots for the **sorted estimated lineup effects**
- ▶ the **color scaling** denoting the **sum of estimated player effects** (green for higher values, red for lower ones)
- ▶ The **bubble size** is **proportional to the number of possessions** played by lineups.



USING THE ESTIMATED RESULTS – 2

Team rankings based on the estimated lineup effects

Teams	Score-based lineup effect	Rank	Outside shooting	Rank	Inside shooting	Rank	Other skills	Rank
Avellino	0.232	7	0.648	2	-0.290	13	0.029	7
Bologna	-0.217	11	0.204	7	-0.020	8	-0.154	15
Brescia	-0.115	10	-0.582	13	0.136	6	0.053	6
Brindisi	0.336	5	0.415	5	-0.449	16	0.227	1
Cantù	-0.592	13	-0.985	16	0.218	3	-0.075	11
Cremona	0.782	1	0.540	4	-0.058	10	0.226	2
Milano	0.646	2	0.564	3	0.163	4	0.086	5
Pesaro	-1.199	16	-0.600	14	-0.335	15	-0.279	16
Pistoia	-0.655	14	-0.381	12	-0.239	12	-0.128	14
R. Emilia	-0.107	9	-0.106	8	-0.016	7	-0.012	8
Sassari	0.477	3	-0.303	10	0.432	1	0.157	3
Torino	-0.527	12	-0.704	15	0.146	5	-0.101	12
Trento	-0.674	15	-0.259	9	-0.330	14	-0.125	13
Trieste	0.030	8	0.346	6	-0.213	11	-0.018	9
Varese	0.302	6	-0.314	11	0.410	2	0.105	4
Venezia	0.477	4	0.924	1	-0.032	9	-0.022	10

The same kind of analysis can be conducted considering the estimated player effects.

SUMMING UP

The proposed approach

- ▶ uses **only freely available data**
- ▶ **generalises** the existing works:
 - ▶ using a specific efficiency measure (**score vs points**)
 - ▶ estimating the more informative **lineup effects** (which also include the player effects)
 - ▶ adopting an alternative model estimation strategy (adopting hierarchical generalized linear model specification – **Empirical Bayes** estimator for the random effects)

CONCLUSIONS

Using the **estimated effects** one can

- ▶ determine the **net efficiency of the lineups**
- ▶ splitting the effect into **three different aspects of the play**
- ▶ evaluating also the **players net efficiency**

These pieces of **information** can be used to

- ▶ **guide the choice of the lineups** that can best face the opposing ones
- ▶ determine the estimated **team rankings**
- ▶ **compare** the different **players** (considering a net measure of their efficiency)
- ▶ **predict the outcome of an hypothetical shift** during a future game

ONGOING RESEARCH – EUROLEAGUE ANALYSIS

- ▶ The website has a **Dynamic Structure**
- ▶ More sophisticated methods for data scraping are needed
- ▶ **RSelenium** is the way (H19)
- ▶ Or a *Java script* in **Selenium**

2018-19 | Playoffs | Game 3

PANATHINAIKOS OPAP ATHENS
82

REAL MADRID
89

APRIL 23, 2019 CET: 20:00
 LOCAL TIME: 21:00 OLYMPIC SPORTS CENTER
 ATHENS

Report Video Boxscore Play by play Graphic stats Shooting chart Quotes Photo Gallery

f t + +

Referees: LAMONICA, LUIGI; BOLTAUZER, MATEJ; MOGULKOC, EMIN
 Attendance: 18182

By Quarter	1	2	3	4
Panathinaikos OPAP Athens	18	23	17	24
Real Madrid	23	13	22	31

End of Quarter	1	2	3	4
Panathinaikos OPAP Athens	18	41	58	82
Real Madrid	23	36	58	89

PANATHINAIKOS OPAP ATHENS																	
#	Player	Min	Pts	2FG	3FG	FT	Rebounds					Blocks		Fouls			
							O	D	T	As	St	To	Fv	Ag	Cm	Rv	PIR
0	THOMAS, DESHAUN	30:46	11	4/5	0/2	3/3	1	3	4		2		3	2	9		
5	LANGFORD, KEITH	15:47	10	3/5	1/2	1/2	1	1	2	1			5	3	6		
6	PAPAGIANNIS, GEORGIOS	14:10	4	2/6			1	2	3		1		1	1	2		
10	PAPAPETROU, IOANNIS	31:15	9	2/3	1/2	2/4	1	4	5	2	1		2	3	14		
14	GIST, JAMES	7:28	4	2/3					1	1			1	3			
15	VOUGIOUKAS, IAN	24:52	8	3/7		2/2	2	3	5	2	1	2	1	2	6		
19	LEKAVICIUS, LUKAS	9:17	3	0/2	1/2								1	3	1		
23	KILPATRICK, SEAN	3:12			0/2		1		1						-1		
24	LOJESKI, MATT	27:40	16	2/3	3/4	3/3	1	1	3					3	2		
33	CALATHES, NICK	31:23	17	3/9	3/7	2/2	2	2	7	3	2	1	1	2	3		
43	ANTETOKOUNMPO, THANASIS	2:06											1		-1		
44	MITOGLIOU, KONSTANTINOS	2:04												1	-1		
Team																	
Totals		200:00	82	21/43	9/21	13/16	6	18	24	14	6	7	3	4	25	21	77
				48.8%	42.9%	81.3%											

Head coach: PITINO, RICK

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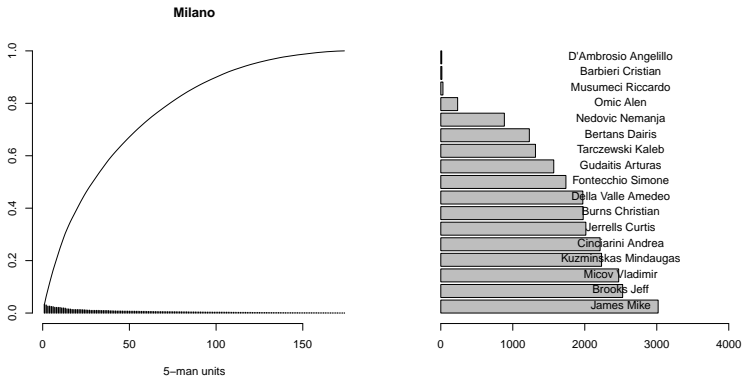
Thank you for
your attention

SOME SUMMARY STATISTICS - NUMBER OF POSSESSIONS BY **players**

Team	No. of Players	Min.	Mean	Median	S.D.	Max.
Avellino	14	3	815.71	657.50	666.79	1927
Bologna	13	21	956.92	880.00	608.99	1761
Brescia	13	2	955.77	1001.00	505.51	1730
Brindisi	12	3	1142.92	1128.50	805.88	2223
Cantù	12	117	1032.50	879.00	791.59	2010
Cremona	12	5	1122.50	1307.00	742.83	2055
Milano	16	5	800.62	922.50	461.15	1539
Pesaro	10	98	1219.00	1383.00	845.07	2188
Pistoia	11	6	1080.00	1100.00	668.12	1897
R. Emilia	18	1	647.50	652.50	430.69	1276
Sassari	13	105	981.15	1122.00	606.12	1830
Torino	14	104	938.93	883.50	498.43	1911
Trentino	12	135	1041.25	1219.00	505.45	1648
Trieste	16	18	791.25	769.00	602.47	1620
Varese	12	1	1065.83	821.50	810.66	2224
Venezia	14	17	799.29	745.00	501.91	1482

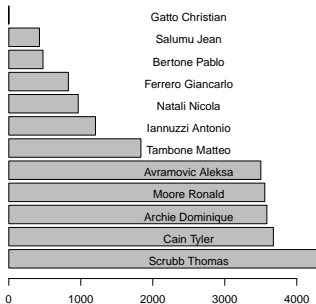
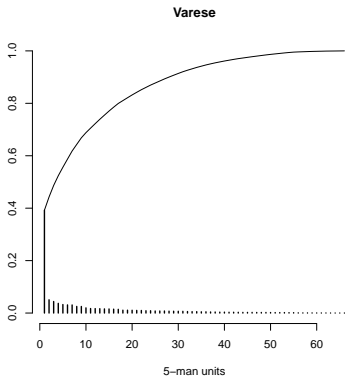
THE DISTRIBUTION OF THE NUMBER OF PLAYS – 1

Distribution of the number of plays for Milano team.



THE DISTRIBUTION OF THE NUMBER OF PLAYS – 2

Distribution of the number of plays for Varese team.



USING THE ESTIMATED RESULTS – 3

Team rankings based on the estimated player effects

Teams	Score-based player effect	Rank	Outside shooting	Rank	Inside shooting	Rank	Other skills	Rank
Avellino	0.300	7	0.569	4	-0.149	11	0.056	7
Bologna	-0.378	11	0.459	5	-0.042	7	-0.572	15
Brescia	-0.237	10	-0.763	14	0.129	6	0.192	6
Brindisi	0.382	6	0.351	6	-0.337	14	0.498	3
Cantù	-1.099	13	-1.316	16	0.264	4	-0.234	11
Cremona	1.241	4	0.644	3	-0.065	10	0.618	2
Milano	1.747	1	0.854	2	0.269	3	0.467	4
Pesaro	-1.803	16	-0.575	11	-0.332	13	-0.688	16
Pistoia	-1.694	15	-0.728	13	-0.423	16	-0.530	13
R. Emilia	-0.137	8	-0.000	8	-0.051	8	-0.024	8
Sassari	1.476	2	-0.687	12	0.695	1	0.895	1
Torino	-1.078	12	-1.086	15	0.176	5	-0.344	12
Trento	-1.468	14	-0.291	10	-0.412	15	-0.562	14
Trieste	-0.170	9	0.305	7	-0.205	12	-0.127	10
Varese	0.436	5	-0.262	9	0.398	2	0.233	5
Venezia	1.408	3	1.845	1	-0.063	9	-0.114	9